

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

JOSHUA L. COATES, ET AL.

Application No.: 09/753,220

Filed: December 29, 2000

For: DYNAMIC REPLICATION OF FILES IN A

NETWORK STORAGE SYSTEM

Art Group: 2188

Examiner: Kevin L. Ellis

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

uli Dussault

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Date: March 23, 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

03-23-06

Date

Complete if Known E TRANSMITTAL Application Number 09/753,220 December 29, 2000 Filing Date Joshua L. Coates First Named Inventor Patent fees are subject to annual revision Examiner Name Kevin L. Ellis Applicant claims small entity status. See 37 CFR 1.27. 2188 Art Unit TOTAL AMOUNT OF PAYMENT 180.00 Attorney Docket No. 42390P19172X4 METHOD OF PAYMENT (check all that apply) ☑ Check ☐ Credit card ☐ Money Order ☐ None Other (please identify): Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP Deposit Account Deposit Account Number: 02-2666 For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

## Charge fee(s) indicated below, except for the filing fee Charge fee(s) indicated below ☐ Charge any additional fee(s) or underpayment of fee(s) ☐ Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. **FEE CALCULATION** Small Entity Large Entity Fee Fee Fee Fee Fee Description Fee Paid (\$) Code (\$) Code 2051 1051 130 65 Surcharge - late filing fee or oath 1052 50 2052 <sup>25</sup> Surcharge - late provisional filing fee or cover sheet. 2053 130 2053 130 Non-English specification 120 2251 1251 60 Extension for reply within first month 2252 1252 450 225 Extension for reply within second month 1253 1,020 2253 510 Extension for reply within third month 1254 1,590 2254 795 Extension for reply within fourth month 1255 2,160 2255 1,080 Extension for reply within fifth month 500 2401 1401 <sup>250</sup> Notice of Appeal 500 2402 <sup>250</sup> Filing a brief in support of an appeal 1402 1.000 2403 1403 500 Request for oral hearing 1,510 2451 1,510 Petition to institute a public use proceeding 1451 1460 130 2460 130 Petitions to the Commissioner 50 Processing fee under 37 CFR 1.17(q) 1807 50 1807 180.00 1806 180 1806 180 Submission of Information Disclosure Stmt 395 Filing a submission after final rejection (37 CFR § 1.129(a)) 1809 790 1809 395 For each additional invention to be examined (37 CFR § 1.129(b)) 1810 2810 Other fee (specify)

SUBMITTED BY	Y			Comp	plete (if applicable)
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SUBTOTAL (2)

WAR 27 7006 W

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete if Known

 Application Number
 09/753,220

 Filing Date
 December 29, 2000

 First Named Inventor
 Joshua L. Coates

 Art Unit
 2188

 Examiner Name
 Kevin L. Ellis

 Attorney Docket Number
 42390P19172X4

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		KLINE: Distributed File Systems for Storage Area Networks; Advanced Digital Info Corp; 11 pages		
		Apache-SSL: Certificates, Configuration and More Information Systems and Technology; University of Waterloo; 9-15-1998; 16 pages		
		SCHROEDER: Implementing Multi-Protocol Authentication/Security Systems; Grid (GSI) Secure Socket Layer (X.509 Certificates), Kerberos, DCE, and Custom Systems for Secure Distributed Data Access; 7-19-1999; 4 pages		
		BEA WebLogic SSL; Server 4.5; 20 pages		
		Certificate to LDAP Mapping in Netscape Servers, Rev 1.2; 8-28-1997; Structured Arts Technical Notes		

Examiner	Date	
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Signature	Considered	1
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number. 'Applicant is to place a check mark here if English language Translation is attached.